

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

#6
ref
10-24-02

IN RE APPLICATION OF: OLIVER RICHTER

SERIAL NO.: 09/926,460

GROUP ART UNIT: 1754

EXAMINER: Not Assigned

ATTY. REFERENCE: RICH3001/JEK/JJC

FILED: November 7, 2001

FOR: DEVICE FOR PROTECTING THE INITIAL
UTILIZATION OF A PROCESSOR/CHIP CARD

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Technology Center 2600

THE COMMISSIONER FOR PATENTS

Washington, D.C. 20231

Sir:

Transmitted herewith is an **INFORMATION DISCLOSURE STATEMENT** in the above-identified application.

☐ Small entity status under 37 CFR 1.9 and 1.27 is claimed.

☒ No additional fee is required.

The fee, if any, has been calculated as shown below:

Fee Basis	Number of Claims After Amendment	Highest Number Previously Paid For	Extra Claims	Small Entity	Full Fee
Total Claims		¹	³	× \$ 9 =	× \$ 18 =
Independent Claims		²	³	× \$ 42 =	× \$ 84 =
<input type="checkbox"/> First Presentation of Proper Multiple Dependent Claim				+ \$ 140 =	+ \$ 280 =
TOTAL					

¹ If less than 20 enter 20.

² If less than 3 enter 3.

³ If less than 0 enter 0.

☐ Please charge my **Deposit Account Number 02-0200** in the amount of \$ _____. A duplicate copy of this sheet is attached.

☐ A check in the amount of \$ _____ is attached.

☒ The Commissioner is hereby authorized to charge any additional fees associated with this communication, including fees due under 37 CFR 1.16 and 37 CFR 1.17 or credit any overpayment to **Deposit Account Number 02-0200**. A duplicate copy of this sheet is attached.

X Also enclosed is/are: **Two references**

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23364

PATENT TRADEMARK OFFICE

DATE: June 10, 2002

Respectfully submitted,

[Signature]
J. ERNEST KENNEY
Attorney for Applicant
Registration Number: 19,179



PATENT

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In re Application of:

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Inventor: Oliver RICHTER

Examiner: Not Assigned OCT 18 2002

Serial No: 09/926,460

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For: DEVICE FOR PROTECTING THE INITIAL UTILIZATION OF A
PROCESSOR/CHIP CARD

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to Rule 37 C.F.R. §§ 1.51(b), 1.56, 1.97 and 1.98, this Information Disclosure Statement is submitted in the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each U.S. and foreign patent, or each publication or portion thereof listed or herein identified is submitted herewith.

CONCISE STATEMENT OF RELEVANCY

(NON-ENGLISH LANGUAGE DOCUMENTS ONLY)

DE 197 33 662 C1 discloses a method for the personalization of GSM chipcards. Prior to initial use of the card, only data is stored in the card which is necessary for the first contact with the computer center of the network operator. After initial use of the card, final data is transmitted to the chipcard and stored.

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STATEMENT REGARDING TRANSLATIONS

Translations of the non-English documents are not available to Applicants' Attorney.

CERTIFICATION

This Information Disclosure Statement is submitted prior to the mailing date of the first Office Action on the merits received by Applicant in the above-identified application, and therefore no fee is necessary.

The Examiner is requested to acknowledge consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,
BACON & THOMAS, PLLC



JUSTIN J. CASSELL
Associate Attorney for Applicant
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Date: 10 June 2002